

INDONESIA COMMODITY & DERIVATIVES EXCHANGE

(ICDX)

**MEMBERSHIP
APPLICATION
FORM**

Section 1: Applicant's Summary

Thank you for your interest in Corporate Membership with ICDX. Please review and follow all directions contained in this application package carefully. Please note incomplete or missing information will extend the application review process. Prior to submitting the application, each applicant should ensure the following :

1. Ensure ALL questions on the application have been thoroughly reviewed and completely answered.
2. Ensure ALL attached documents required in Section 5 (whichever applicable) of the application are submitted.
3. Ensure the following forms are executed by Authorized Signors of the applicant:
 - Attestation, Authorization, and Agreement for Membership,
 - ICDX Trading Attestation
 - Designated Spokesperson and Authorized Signor Acknowledgement.
4. Ensure a scanned copy of the application and all applicable supporting documentation is emailed to membership@icdexchange.com or original must be mailed to the following address:

Indonesia Commodity & Derivatives Exchange (ICDX)

Membership Team
The Capitol 2nd Floor
Jl. Letjen S. Parman kav. 73
Jakarta 11410 – Indonesia
Phone : +62 21 2929 7788
Fax : +62 21 548 2652
Website : www.icdx.co.id

If you have any questions or if you have submitted an application and have not received a confirmation email within 3 business days of your submission, please contact ICDX Membership Team at +62 21 2929 7788 or at membership@icdexchange.com.

** Please note ICDX incoming email attachment limit is 2 MB (2,000 KB). As such, if the sum total of email attachments is greater than this limit, please send multiple emails attaching the required documents.*

I / We hereby apply for the above category of membership of the Indonesia Commodity and Derivatives Exchange (ICDX). I / We declare that the information provided in or attached with this application, including any other supplementary information required in connection with this application, is true and complete to the best of my / our knowledge. In the event that information and / or documentation given in this application is incomplete, misleading or if there is any significant change regarding such information, I / we will immediately report it to ICDX and seek to rectify it within seven (7) business days.

We acknowledge and agree to adhere to the Rules of the ICDX (“Exchange Rules and Regulations”), and understand that should any information or documentation given in this application be false, misleading or intentionally incomplete, our Membership may be suspended and we may be liable for legal action as a consequence of such action.

Stamp Duty

Director

Director

Date

Section 2: Applicant's Details

1. Corporate Details

Full Legal Name

Date Established

Tax Identification Number

Country of Incorporation

Import/Export License (if applicable)

Headquarter Address

Phone Number

Website

Branch Office Address (if applicable)

Country

Phone Number

Name of Bank & Country of Origin

Bank Account No.

Manufacturing Address 1 (if applicable)

Country

Phone Number

Manufacturing Address 2 (if applicable)

Country

Phone Number

Section 3: Integrity Pact

1. Please respond to the following questions:	YES	NO
I. Has your organization or its principals ever been denied registration, or had a registration suspended, revoked, or conditioned by a governmental or regulatory authority?	<input type="radio"/>	<input type="radio"/>
II. Has your organization or its principals ever been denied membership or clearing privileges by any commodity or securities exchange/clearing organization?	<input type="radio"/>	<input type="radio"/>
III. Has any commodity exchange, securities exchange, clearing organization or other self-regulatory body ever fined, suspended, conditioned, or revoked privileges of your organization or its principals?	<input type="radio"/>	<input type="radio"/>
IV. Has your organization or its principals ever been convicted, pled guilty, entered a plea of “no contest” or entered into a voluntary settlement as to any violation of any criminal or penal code?	<input type="radio"/>	<input type="radio"/>
V. Is your organization or its principals subject to any investigation or have any charges been brought by any governmental or regulatory authority or exchange/clearing organization for violation of its laws or rules?	<input type="radio"/>	<input type="radio"/>
VI. Does your organization or its principals currently have any judgments, liens, attachments, or other encumbrances filed against it?	<input type="radio"/>	<input type="radio"/>
VII. Has your organization or its principals ever been entered on banking black list ?	<input type="radio"/>	<input type="radio"/>

If your response is yes to any of the above, please describe below and provide supporting documentation.

2. Is your organization registered in any capacity with a regulatory agency? If so, indicate the nature and country of the registration (s), your primary regulator (s) and the regulator’s Web Site (s).

Section 4: Documents Required

The list below is accompanied by notes in the Section 5 : Attachments.

1. Certificate of Incorporation and the Amendment.
2. Financial Statements of Company (Audited).
3. Company Profile.
4. Shareholder Information.
5. Information about the company and related parties.
 - Company Registration or TDP
 - Organization Structure
6. Details list of Directors and other key officers.
 - CV's of Board Director
 - Copy of Identification (Passport, etc.)
7. Company Business License, Tax Registration Number, Registered Import/Export License
8. Undertaking Letter of Trader Membership.
9. PIC Form.
10. Certificate of Quality Analysis, Purification Guarantee issued by Lab Officer. (** Sellers Only*)
11. Certificate of Standard Quality Product issued by National Standardized Agency. (** Sellers Only*)
12. Recommendation Letter by Association (RAOT, MRE/MRB, GAPKINDO, VRA). (** Sellers Only*)

Section 5: Attachments

Please complete the documents required into attachments are arranged as below:

Item (1) to (4) must be certified by the Director of Company as stated in the Articles of Association.

1. Company Organizational Documents that has been certified by the Authority Institution of The Country (e.g. Certificate of Incorporation, Memorandum of Association, Articles of Incorporation, Articles of Association, Board of Resolution).

(Marked as “ Attachment A ”)

2. Most recent Audited Financial Statement that Financial statement of the company and the holding company that has been audited by public accountant. If an Audited Financial Statement is not available, please submit most recent financial statement indicating the assets, liabilities, and capital of the company or a recent tax return filing.

(Marked as “ Attachment B ”)

3. Company Profile, Organization Structure or Organizational Chart and Organization’s shares ownership including percentages of ownership.

- a. Provide list of individual shareholders and company shareholders

Indicate if any of the shareholders or partners of the company are:

- i. as spouse, father, mother, son or daughter of another shareholder or partner.
 - ii. as a holding company, or subsidiary, or fellow subsidiary of a holding company of another shareholder’s company.
- b. If the corporation is a holding company or subsidiary company, provide a chart showing the group of companies as well as the type of business that are related.
 - c. State the name of any persons who physically or morally have the control in the Company, together with their identification and position in every company, wherever applicable.

(Marked as “ Attachment C ”)

4. Details on Board of Directors or Authorized Signor and other Key Officers.

A list of the Company’s Board of Directors or Authorized Signor, Partners, Key Officers, and their personal details including:

- Names;
- CVs;
- Passport Size Photographs of Board of Directors or Authorized Signor (4x6) 4 copies each;
- Tax Registration Number;
- Residential address (including a certified copy of a utility bill);
- Nationality (as on passport);
- Employment history for the past 5 years;
- Highest qualifications;

- Records of any offences committed (if any) ;
- Shareholding in the Company or any related entity;
- Significant interests in other companies, and other directorships and / or partnerships held.

(Marked as “ Attachment D ”)

5. Company Business License, Tax Registration Number, Registered Import/Export License.

(Marked as “ Attachment E ”)

6. Undertaking Letter of Trader Membership*.

(Marked as “ Attachment F ”)

7. PIC Form *.

(Marked as “ Attachment G ”)

8. Certificate of Quality Analysis, Purification Guarantee issued by Lab Officer. (* Sellers Only)

(Marked as “ Attachment H ”).

9. Certificate of Standard Quality Product issued by National Standardized Agency. (* Sellers Only)

(Marked as “ Attachment I ”)

10. Recommendation Letter by Association (RAOT / MRE/MRB / GAPKINDO / VRA). (* Sellers Only)

(Marked as “ Attachment J ”)

** We will attach the undertaking letter of trader membership, and PIC Form to fulfill the documents required and please be printed in into your company letter head, fill in, sign, and stamp it.*